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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/912,389	07/26/2001	Neil Andrew Cowie	00.177.01	5037	
759	7590 09/01/2006		EXAM	EXAMINER	
Zilka-Kotab, PC P.O. Box 721120 San Jose, CA 95172-1120			HENNING, MATTHEW T		
			ART UNIT	PAPER NUMBER	
			2131		
			DATE MAILED: 09/01/2000	5	

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)		
	09/912,389	COWIE ET AL.		
Office Action Summary	Examiner	Art Unit		
	Matthew T. Henning	2131		
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	correspondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).				
Status				
1) Responsive to communication(s) filed on 14 Ju	· · · · · · · · · · · · · · · · · · ·			
·	action is non-final.			
<ol> <li>Since this application is in condition for alloward closed in accordance with the practice under E</li> </ol>	•	•		
closed in accordance with the practice under L	ix parte quayie, 1905 C.D. 11, 40			
Disposition of Claims				
4) ☐ Claim(s) See Continuation Sheet is/are pending 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) See Continuation Sheet is/are rejected 7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/o	wn from consideration.			
Application Papers				
9) The specification is objected to by the Examine	ır			
10)⊠ The drawing(s) filed on <u>10/30/2001</u> is/are: a) □		the Examiner.		
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).		
Replacement drawing sheet(s) including the correct	•	•		
,	diffinition. Note the attached office	7700011 01 1011111 1 1 1 1 1 1 2 1		
Priority under 35 U.S.C. § 119				
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority document</li> <li>2. Certified copies of the priority document</li> <li>3. Copies of the certified copies of the priority application from the International Bureau</li> <li>* See the attached detailed Office action for a list</li> </ul>	s have been received. s have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	ion No ed in this National Stage		
Attachment(s)	_			
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) 🔲 Interview Summary Paper No(s)/Mail D			
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date		Patent Application (PTO-152)		

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1 This action is in response to the communication filed on 6/14/2006.

#### 2 DETAILED ACTION

#### Response to Arguments

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Applicants' arguments filed 6/14/2006 have been fully considered but they are not persuasive. 5

Regarding applicants' argument that the amendments have overcome the prior rejections under 35 USC 112 2<sup>nd</sup> Paragraph, the examiner points out that there still exists multiple antecedent basis for the limitations "said fingerprint data" in the later parts of the claims. As such, the examiner has maintained the rejections. The examiner encourages the applicants to specifically point out which fingerprint data (the generated fingerprint data or the known fingerprint data) is being referred to by the claim language.

Regarding applicants' amendment to overcome the rejections under 35 USC 101, the examiner points out that simply asserting that the medium is "tangible" is not enough to make the computer program product statutory. Furthermore, the applicants have provided no explanation as to what a "tangible" computer readable medium encompasses. Therefore, the examiner suggests the following language: "in a computer storage medium", as is consistent with the instant specification paragraph 0052.

Regarding applicants' argument that Hypponen teaches signatures of "macros", the examiner does not find the argument persuasive. Although Hypponen does pertain to "macros", more generally, Hypponen pertains to comparison of two checksums for determining whether a set of data contains malicious data, and it would have been readily apparent to one of ordinary skill in the art at the time of invention that comparison between checksums would be faster than

1 comparison of the entire set of data the checksums were derived from. As such, the examiner
2 does not find the argument persuasive.

Regarding applicants' argument that Cozza and Hypponen did not disclose the fingerprint data including a flag indicating which data is included within said fingerprint data, the examiner has previously addressed this argument and is again not persuaded.

Regarding applicants' argument that Cozza and Hypponen did not disclose that the fingerprint data included a program resource item having a largest size, the examiner does not find the argument persuasive. It was obvious that in the combination, a program resource having a largest size was included in the fingerprint, as resources were included and it was inherent that one of the included resources was the largest of the included resources. Therefore, the examiner does not find the argument persuasive.

Regarding applicants' argument that Cozza and Hypponen did not disclose comparing said resource data with characteristics of a plurality of known computer programs...", the examiner does not find the argument persuasive. The scanning of the resource fork for resource fork viruses does fall within the scope of claim recitation as in order to scan one set of data for second set of data, the characteristics of the second set must be compared with the first. As such the examiner does not find the argument persuasive.

Regarding applicants' argument that Cozza and Hypponen did not disclose "hierarchically arranged resource data" the examiner does not find the argument persuasive. This is due to the fact that the although Cozza did not specifically state that the data was hierarchically arranged, the file is separated into a data fork and a resource fork, which causes the resources in the resource fork to be in a "hierarchical arrangement". Furthermore, Cozza

1	disclosed that these were	Macintosh Files,	and it was commo	n knowledge that	the resource fork

- 2 of a Macintosh file was arranged into a hierarchy of resources. Therefore, the examiner does not
- 3 find the argument persuasive.
- 4 Regarding applicants' argument that Cozza and Hypponen did not disclose that said
- 5 "checksum value is rotated between each item being added into said checksum" the examiner
- does not find the argument persuasive. If the checksum is rotated after each operation, as is
- 7 SHA, then it stands that "between each item" the checksum was rotated.
- 8 Regarding applicants' argument that Hodges does not disclose a "time of compilation",
- 9 the examiner does not find the argument persuasive. Hodges teaches that the time that the
- signature (fingerprint) data was compiled should be included with the signatures and as such this
- timestamp indicates the time of compilation of the viruses (known computer program) into the
- 12 DAT files, which meets the limitation of the claim language. Therefore the examiner does not
- find the argument persuasive.
- Because the examiner does not find the argument persuasive, the prior art rejections
- presented in the final office action dated 6/10/2005 have been maintained.
- 16 Claims 1-3, 5-9, 12, 14-19, 21-25, 28, 30-35, 37-41, 44, 46-51, 53-57, 60, 62-67, 69-73,
- 17 76, 78-83, 85-89, 92, and 94-98 have been examined, while claims 4, 10-11, 13, 20, 26-27, 29,
- 18 36, 42-43, 45, 52, 58-59, 61, 68, 74-75, 77, 84, 90-91, and 93 have been cancelled.
- All objections and rejections not set forth below have been withdrawn.
- 20 Claim Rejections 35 USC § 112
- The following is a quotation of the second paragraph of 35 U.S.C. 112:
- The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

to either of the fingerprint data.

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Claims 1-3, 5-9, 12, 14-19, 21-25, 28, 30-35, 37-41, 44, 46-51, 53-57, 60, 62-67, 69-73, 1 76, 78-83, 85-89, 92, and 94-98 are rejected under 35 U.S.C. 112, second paragraph, as being 2 3 indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. 4 Claims 1, 17, 33, 49, 65, and 81 recite the limitation "said fingerprint data" in the last 4 5 lines. There is multiple antecedent basis for this limitation in the claim. It is unclear to which 6 7 fingerprint data this is referring and therefore the ordinary person skilled in the art would not be 8 able to determine the scope of the claim. Therefore, the claims are rejected for failing to

particularly point out and distinctly claim the subject matter which the applicant regards as the

invention. For the purposes of searching prior art, the examiner will assume these were referring

Claims 1, 17, 33, 49, 65, and 81 recite the limitation "said resource data" in the 3<sup>rd</sup> to last line. There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes of searching prior art, the examiner will assume these were referring to either of the resource data.

Claims 3, 19, 35, 51, 67, and 83 recite the limitation "said resource data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and

1 distinctly claim the subject matter which the applicant regards as the invention. For the purposes

of searching prior art, the examiner will assume these were referring to either of the resource

3 data.

Claims 6, 22, 38, 54, 70, and 86 recite the limitation "said resource data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes of searching prior art, the examiner will assume these were referring to either of the resource

of searching prior art, the examiner will assume these were referring to either of the resource data.

Claims 7, 23, 39, 55, 71, and 87 recite the limitation "said resource data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes of searching prior art, the examiner will assume these were referring to either of the resource data.

Claims 8, 24, 40, 56, 72, and 88 recite the limitation "said resource data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes

of searching prior art, the examiner will assume these were referring to either of the resource data.

Claims 9, 25, 41, 57, 73, and 89 recite the limitation "said resource data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which resource data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes of searching prior art, the examiner will assume these were referring to either of the resource data.

Claims 9, 25, 41, 57, 73, and 89 recite the limitation "said fingerprint data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which fingerprint data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. For the purposes of searching prior art, the examiner will assume these were referring to either of the fingerprint data.

Claims 12, 28, 44, 60, 76, and 92 recite the limitation "said fingerprint data". There is multiple antecedent basis for this limitation in the claim. It is unclear to which fingerprint data this is referring and therefore the ordinary person skilled in the art would not be able to determine the scope of the claim. Therefore, the claims are rejected for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention.

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For the purposes of searching prior art, the examiner will assume these were referring to either of the fingerprint data.

Any claim not specifically mentioned above has been rejected by virtue of its dependency to a specifically mentioned claim.

### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-3, 5-9, 11-12, 14-19, 21-25, 27-28, and 30-32 are rejected under 35 U.S.C. 101

because the claimed invention is directed to non-statutory subject matter. These claims are

directed only to a "computer program product". A computer program product per se could

simply be a computer program written on paper, which does not fall within any of the statutory

categories of patentable subject matter. The specification does not provide any metes and

bounds for a computer program product and therefore a reasonable interpretation of a computer

program product would include a computer program written on paper. Therefore the claims are

rejected for being directed towards non-statutory subject matter. See MPEP § 2106. Further see

the remarks above under the section "response to arguments".

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to

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which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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4 Claims 1-3, 5, 9, 12, 14, 17-19, 21, 25, 28, 30, 33-35, 37, 41, 44, 46, 49-51, 53, 57, 60,

5 62, 65-67, 69, 73, 76, 78, 81-83, 85, 89, 92, 94, and 98 are rejected under 35 U.S.C. 103(a) as

6 being unpatentable over Cozza (US Patent Number 5,649,095), and further in view of Hyppönen

et al. (US Patent Number 6,577,920) hereinafter referred to as Hypponen.

Regarding claims 1, 17, 33, 49, 65, and 81, Cozza disclosed a system, method, and computer program product (See Cozza Claims and Col. 1 Lines 26-33) comprising a computer program operable to control a computer to detect a known computer program within a packed computer file, said packed computer file being unpacked upon execution, said computer program comprising (See Cozza Abstract and Col. 3 Paragraph 6: resource data reading logic for reading resource data within said packed computer file (See Cozza Col. 6 Lines 21-23 and 29-34), said resource data specifying program resource items used by said known computer program (See Cozza Col. 2 Paragraph 7) and readable by a computer operating system without dependence upon which unpacking algorithm is used by said packed computer file (See Cozza Col. 6 Paragraphs 2-3 wherein the compressed file is not decompressed in order to read the resource forks information); and resource data comparing logic for generating characteristics of said resource data (See Cozza Paragraph 1 Lines 58-65 wherein it was inherent that the characteristic data was generated in order for the data to have been compared) and for comparing said characteristics of said resource data with characteristics of resource data of said known computer program (See Cozza Col. 7 Lines 35-39 and Col. 1 Lines 58-65) and for detecting a match with said known computer program indicative of said packed computer file containing said known

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computer program (See Cozza Col. 7 Lines 35-39 and Col. 1 Lines 58-65), and wherein said fingerprint data includes a location within said resource data of an entry specifying a program resource item having a largest size (See Cozza Col. 6 Lines 29-45), but Cozza failed to disclose wherein said resource data of said packed computer file is processed to generate fingerprint data and to compare said fingerprint data with fingerprint data of said known computer program; wherein said fingerprint data includes a number of program resource items specified within said resource data; or wherein said fingerprint data includes a flag indicating which data in included within said fingerprint data. However, Cozza did disclose the file including a number of program resource items specified within said resource data (See Cozza Col. 2 Paragraph 7), and a set of flags indicating what data was contained in the file (See Cozza Col. 3), and comparing the resource data with resource data of a known program (See Cozza Col. 1 Lines 58-65, Col. 6 Paragraph 3, and Col. 7 Lines 35-40). Hypponen teaches a method of virus scanning in which signatures (fingerprint) of a file are created and compared to signatures of known infected files in order to detect viruses (See Hypponen Col. 3 Lines 14-25). It would have been obvious to the ordinary person skilled in the art at the time of invention to employ the teachings of Hypponen in the virus scanning of Cozza by creating a signature of the resources of the compressed file and comparing it to previous signatures. This would have been obvious because the ordinary person skilled in the art would have been motivated to scan the files as quickly as possible, without compromising security. It would have been obvious in this combination that because the file contains the resource fork and resource

items, and the signature is taken of the file, the signature includes a number of resource items

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specified within the resource fork. It further would have been obvious that because the

- 2 fingerprint data represented the file during comparison, and the flags of Cozza indicated the
- 3 viruses found in the file, the fingerprint data would have included a flag indicating which data
- 4 (viruses) was included within said fingerprint data.
- 5 Regarding claims 2, 18, 34, 50, 66, and 82, Cozza and Hypponen disclosed that said
- 6 known computer program is one of: a Trojan computer program; and a worm computer program
- 7 (See Cozza Col. 1 Lines 22-32 and Col. 7 Lines 35-39).
- 8 Regarding claims 3, 19, 35, 51, 67, and 83, Cozza and Hypponen disclosed that said
- 9 resource data comparing logic is operable to compare said resource data with characteristics of a
- plurality of known computer programs to detect if said packed computer program contains one of
- said plurality of known computer programs (See Cozza Col. 7 Lines 35-40).
- Regarding claims 5, 21, 37, 53, 69, and 85, Cozza and Hypponen disclosed that said
- program resource items used by said known computer program include one or more of: icon
- data; string data; dialog data; bitmap data; menu data; and language data (See Cozza Col. 2
- 15 Paragraph 7).
- Regarding claims 9, 25, 41, 57, 73, and 89, the combination of Cozza and Hypponen
- disclosed the fingerprint data including a checksum (See Hypponen Col. 4 Lines 55-59) value
- 18 calculated in dependence upon one or more of: a number of program resource items specified
- beneath each node within hierarchically arranged resource data; string names associated with
- 20 program resource items within said resource data; and sizes of program resource items within
- said resource data (See Cozza Col. 5 Lines 1-9 wherein it would have been inherent that the size,

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or amount of data, the string names in the data, and the number of the resource items in that data
would have effected the calculation of the checksum).

Regarding claims 14, 30, 46, 62, 78, 94, and 98, Cozza and Hypponen disclosed the checksum being SHA, which shifts 1 bit to the left after each operation (See Hypponen Col. 4 Lines 56-59).

Claims 12, 28, 44, 60, 76, and 92 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Cozza and Hypponen as applied to claims 4, 20, 36, 52, 68, and 84 above respectively, and further in view of Hodges et al. (US Patent Number 6,269,456) hereinafter referred to as Hodges.

The combination of Cozza and Hypponen disclosed creating fingerprint data for detecting viruses (See rejection of claim 4 above), but failed to disclose providing a time of compilation in the fingerprint data.

Hodges teaches that in a virus protection system, virus signature files can be automatically updated with new signatures when necessary, if a latest revision time is provided with the files (See Hodges Col. 2 Paragraph 6 and Col. 4 Paragraph 6).

It would have been obvious to the ordinary person skilled in the art at the time of invention to employ the teachings of Hodges in the virus scanning system of Cozza and Hypponen by providing a time of revision with each signature. This would have been obvious because the ordinary person skilled in the art would have been motivated to ensure that the system was protected against the most recently discovered viruses.

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1 Claims 6-8, 15-16, 22-24, 31-32, 38-40, 47-48, 54-56, 63-64, 70-72, 79-80, 86-88, and 2 95-97 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Cozza 3 and Hypponen as applied to claims 1, 17, 33, 49, 65, and 81 above, and further in view of Pietrek ("Peering Inside the PE: A Tour of the Win 32 Portable Executable"). 4 5 Regarding claims 16, 32, 48, 64, 80, and 96, Cozza and Hypponen disclosed detecting a 6 known computer program in a compressed computer file, the file including resource data (See 7 rejection of claim 1 above), but failed to specifically name the Win32 PE file as one of these 8 files. 9 Pietrek teaches that a Win32 PE file is an executable file which contains un-initialized 10 code and resources, which when executed the code is initialized using the resources (See Pietrek 11 Page 21 PE File Base Relocations). It would have been obvious to the ordinary person skilled in the art at the time of 12 13 invention to employ the teachings of Pietrek in the virus detector of Cozza and Hypponen by 14 allowing the scanning of Win32 PE files and their resources. This would have been obvious 15 because the ordinary person skilled in the art would have been motivated to provide protection 16 against Win32 PE files containing viruses. 17 Regarding claims 6-8, 22-24, 38-40, 54-56, 70-72, and 86-88, the combination of Cozza, 18 Hypponen and Pietrek disclosed specifying a storage location for each resource item as an offset, 19 and the size of each resource (See Pietrek Page 20 Table 13 Offsets and Page 21 Fig. 14 20 DWORD OffsetToData).

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1 Regarding claims 15, 31, 47, 63, 79, and 95, Cozza, Hypponen and Pietrek disclosed 2 decompressing the computer program upon execution (See Pietrek Page 21 PE File Base 3 Relocations). 4 Regarding claim 97, Cozza, Hypponen, and Pietrek disclosed that the checksum value 5 depended upon: a number of program resource items specified beneath each node within 6 hierarchically arranged resource data; string names associated with program resource items 7 within said resource data; and sizes of program resource items within said resource data (See the 8 rejection of claim 1 above, and further see Pietrek Fig. 5 and Table 13). 9 Conclusion 10 Claims 1-3, 5-9, 12, 14-19, 21-25, 28, 30-35, 37-41, 44, 46-51, 53-57, 60, 62-67, 69-73, 11 76, 78-83, 85-89, 92, and 94-98 have been rejected. 12 THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time 13 policy as set forth in 37 CFR 1.136(a). 14 A shortened statutory period for reply to this final action is set to expire THREE 15 MONTHS from the mailing date of this action. In the event a first reply is filed within TWO 16 MONTHS of the mailing date of this final action and the advisory action is not mailed until after 17 the end of the THREE-MONTH shortened statutory period, then the shortened statutory period 18 will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 19 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, 20 however, will the statutory period for reply expire later than SIX MONTHS from the mailing 21 date of this final action.

1	Any inquiry concerning this communication or earlier communications from the
2	examiner should be directed to Matthew T. Henning whose telephone number is (571) 272-3790.
3	The examiner can normally be reached on M-F 8-4.
4	If attempts to reach the examiner by telephone are unsuccessful, the examiner's
5	supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the
6	organization where this application or proceeding is assigned is 571-273-8300.
7	Information regarding the status of an application may be obtained from the Patent
8	Application Information Retrieval (PAIR) system. Status information for published applications
9	may be obtained from either Private PAIR or Public PAIR. Status information for unpublished
10	applications is available through Private PAIR only. For more information about the PAIR
11	system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR
12	system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).
13	
14 15	
16	CHRISTOPHER RE
17 18	PRIMARY EXAMIN

20 Matthew Henning21 Assistant Examiner

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23 8/27/2006

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# Continuation Sheet (PTOL-326)

Application No. 09/912,389

Continuation of Disposition of Claims: Claims pending in the application are 1-3,5-9,12,14-19,21-25,28,30-35,37-41,44,46-51,53-57,60,62-67,69-73,76,78-83,85-89,92 and 94-98.

Continuation of Disposition of Claims: Claims rejected are 1-3,5-9,12,14-19,21-25,28,30-35,37-41,44,46-51,53-57,60,62-67,69-73,76,78-83,85-89,92 and 94-98.